FA SOLUTIONS

FA Platform

Your Wealth Management Business in a single Software Platform

Automate & Accelerate

FA PLATFORM FOR BACK OFFICE

We enable our clients to automate reoccurring manual tasks by providing a scalable, flexible, state-of-the-art functionality, and processes. With the help of our task and workflow management our clients avoid irrational operational work and overhead. We simply deliver more room for your growth.

Our clients get more out of your business: they have the control of cash amount by optimising asset allocation, streamlining your settlement process, simplify the pre-trade process, and we offer efficient transaction or position based investment accounting. All in a single platform from front office to back office. We give our clients more time to spend with their clients.

COMPLIANCE - REGULATORY

Compliance - Regulatory provides you with tools for regulatory reporting, such as MiFID II and GDPR, and supports monitoring of both external and internal requirements from company-level rules to portfolio-specific restrictions.

TRADE ORDER MANAGEMENT

Trade Order Management module enables you to manage an entire life cycle of a trade in an integrated, compliant, and transparent way.

CORPORATE ACTIONS

Corporate Actions module allows you to control which portfolios to use, which transactions to create, which taxes to apply, and when to exercise the corporate action. Posting, executing, and distributing to thousands of portfolios with one click.

FEE MANAGEMENT

Fee Management module allows you to automatically and accurately perform calculations from simple asset management fees to complicated performance fees with High-Water Marks

REPORTING

The FA Platform includes a vast variety of standard reports and any kind of custom reports can be easily built on request. Diverse report parameters allow light to be shed on hidden details.

FUND MANAGEMENT

Fund Management everything including managing fund portfolios, calculating NAVs, maintaining shareholder registries, and regulatory reporting.

TRANSACTIONS

Transaction types in FA are not pre-defined, but are configured based on your business needs. Users can add and configure transaction types without FA's assistance.

UNLIMITED ASSET TYPES

In FA, asset classes and types are not pre-defined and are just a matter of configuration. You can have as many different asset types as you wish from traditional investment instruments, complex alternatives, to real estate.

INVESTMENT RESRICTIONS

In the current regulatory environment you need to ensure that your investments are compliant with all applicable laws, regulations, and other constraints. With FA's Compliance - Investment Restrictions you can integrate investment limits together with pre- and post-trade compliance seamlessly into your workflows

PORTFOLIO AND MODEL PORTFOLIO MANAGEMENT

Portfolio Management module simplifies the management of versatile transactions and multi-dimensional portfolio hierarchies. It adapts flexibly to the changing environment of your investment vehicles.

Model Portfolio Management supports model portfolios, investment strategies, and client-specific preferences and enhances your discretionary asset management approach. FA's intelligent rebalancing logic allows you to efficiently apply your models to your portfolios.

FA PLATFORM FOR FRONT OFFICE

Our platform is a central analysis and decision-enabling solution that is designed especially for portfolio managers, covering a wide range of asset classes and instrument types. Our asset management platform includes intuitive position analysis and investment-simulation capabilities with relevant risk and performance metrics.

Our integrated CRM functionality is designed for the financial industry and enables you to view essential parameters and metrics such as client details, tax rules, client transactions and bank account information. You'll also get a portfolio overview, overview of performance measurement figures, and compliance limit exposures. The solution is fully integrated with Trade Order management and all other features.

We deliver our FA Platform for the Front Office as an integrated enterprise solution or as a stand-alone platform. With us you get automated front office workflows and task management with real time position and transaction views. The solution reduces your operational risk, allows efficient generation of strategies, and supports multiple investment processes, strategies, asset classes, and management tasks – everything in a single platform.

ANALYTICS

Analytics module provides you with a comprehensive overview of your investments and also enables you to analyze your portfolio from different angles. It allows you to compare your investments, use a benchmark, and view how your investments have contributed to your portfolio's performance according to the Global Investment Performance Standards (GIPS).

COMMUNICATION

Communications module allows you to send emails and SMS messages to your customer directly from FA, and receive your customers' replies to your messages. All sent and received messages are stored within your other customer-related information, providing you with a record of all customer communication in one place

CUSTOMER ONBOARDING & KYC

FA's Sales Process guides financial advisors through sales opportunities, including Know Your Customer (KYC) information, automated proposal creation, and final execution of contracts and trade orders.

DOCUMENT MANAGEMENT

Document Bank module offers one place for all of your important investment and service related documents making document management easy, organised and secure.

PORTFOLIOS, HOLDINGS, AND TRANSACTIONS

The FA Platform enables Front Office representatives to view and analyze all the necessary portfolios, portfolio holdings, and transactions.

PROSPECT HANDLING

Prospect handling (within FA CRM) streamlines your workflows, speeds up the prospecting and sales processes, and works conveniently for example as a call center.

TASK MANAGEMENT

With our Task tool, you will stay on top of your day, week, or month. The Tasks tool allows you to track the progress of your standalone tasks, move easily between tasks, save and keep a record of every interaction you have had with the customer, as regulated within the industry.

TRADING

The trading screen allows the user to see all the necessary security details such as performance and fee information for well-informed trading decisions. To ensure validity, the screen only shows pre-screened securities available for trading.

FA PLATFORM FOR CLIENT FRONT

The FA Platform contains features that allow you to build modern and responsive applications that you or your end-clients can easily access FA through both a desktop or a mobile browser.

Your end-clients will be able to launch trade orders, produce their own reports, analyze their investments, set up automatic monthly deposit/withdrawal, receive messages about new offerings, alerts, contacting representatives, and more all by themselves in a White Label Client Portal.

SIGNICAT DIGITAL IDENTITY AND SIGNING

FA's Signicat Digital Identity and Signing provides you with secure authentication methods which you can utilize when your clients are logging in to FA, when authorizing specific actions, or when electronically signing documents.

APPLICATION OR WEB-BASED

FA is a responsive and intuitive web-based application that can be used with any device, such as desktop, tablet, and mobile, even as a mobile app.

CUSTOMER ONBOARDING & KYC

With the FA Platform, your prospects are able to onboard themselves digitally all the way from identification to fulfilling KYC and risk profiling questionnaires to signing contracts.

DOCUMENT MANAGEMENT

FA's Document Bank offers one place for all of your important investment and service related documents making document management easy, organized, and secure.

ELECTRONIC SIGNING

Our partner Signicat offers a proven way to sign documents, all the way from authenticating the intended signee to completing the signature process, directly available in the FA Platform

INVESTMENT ANALYTICS

FA's Analytics module provides your customers with a comprehensive overview of their investments and even enables them to analyze their portfolios from multiple different angles.

TRADING

The FA Platform is an interactive alternative to traditional customer reporting, providing you with a competitive edge through enhanced customer experience. and fees for well-informed trading decisions.

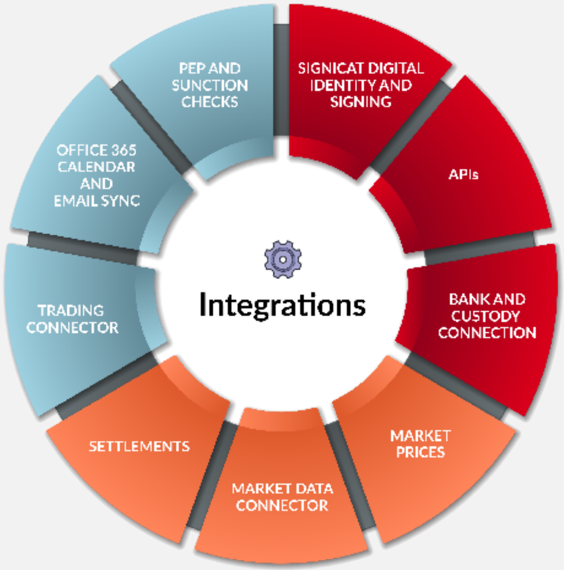
VIEWING PORTFOLIOS, HOLDINGS, TRANSACTION

The trading screen allows the customer to see all the necessary security details such as performance

INTEGRATIONS WITHIN FA PLATFORM

We offer the best-in-class services to help you thrive in the complex wealth management business. The FA Platform has a vast API library with hundreds of pre-built custodian connections and all major market data providers.

We offer FTP, SFTP, SWIFT, FIX, API, CSV, and other Custom Process Integrations. By integrating directly to third parties there is no human error and no double-triple data entry across platforms.



SIGNICAT DIGITAL IDENTITY AND SIGNING

Know-your-client (KYC) questionnaires can be hard to construct and time-consuming to fill in. FA's Typeform Questionnaires allows you to easily create professional, nice-looking and easy-to-use questionnaires to collect KYC information from your customers and save it directly to FA.

APIs

APIs provide you with a programmatic access to the data you have stored in FA, allowing you to build your own solutions on top of your portfolio management system.

BANK AND CUSTODY CONNECTION

FA's Bank and Custody Connections allows you to easily manage incoming and outgoing files to your banks and custodians, for example to automatically reconcile your holdings, read in your transactions, or settle your trades. You can connect to multiple different banks and custodians simultaneously and comprehensively manage how your files are transferred.

MARKET PRICES

Market Price Connector connects your FA environment with global market data providers and enables the automated flow of EOD prices, thus keeping your position valuations up-to-date.

OFFICE 365 CALENDAR AND EMAIL SYNC

Office 365 Calendar and Email Sync allows you to synchronize selected emails and calendar events between your Office 365 and FA.

MARKET DATA CONNECTOR

FA's Market Data Connector connects your FA with global market data providers to automatically receive EOD prices and comprehensive instrument reference data, thus keeping your position valuations and instrument data always up-to-date. You can connect to multiple different market data providers simultaneously and flexibly fetch the data you need.'s Instrument

SETTLEMENTS

FA's Settlement generates settlement instructions in standard electronic formats and allows you to easily track your settlement status. You can streamline your settlement process even further by connecting FA to your custodians, enabling you to send and receive settlement messages automatically.

TRADING CONNECTOR

FA's Trading Connector automates your trade order management by directly sending orders to your chosen trading venue. You can easily monitor the status and execution information of your trade orders in real time.

PEP AND SANCTION CHECKS

FA's PEP and Sanction Checks provides you with automatic background checks to ensure that politically exposed persons (PEP) and individuals included in various global sanctions lists are identified in a timely manner. You can also verify the results of any background checks for compliance purposes at any time.

WHITE-LABEL SOLUTIONS

Do you have a business idea? Are you thinking of launching a new service to your business partners? We are here to help! FA Solutions offers 100% customizable White-Label solutions for your business. Let us take care of the technology while you concentrate on your core business.

CONCENTRATE ON YOUR CORE BUSINESS

We offer advanced technical solutions for organizations within financial services to effortlessly set up new digital services and businesses.

EASY IMPLEMENTATION

Choose the needed functionalities from our extensive set of modules, let us set them up for you with the desired appearance, and you're good to go!

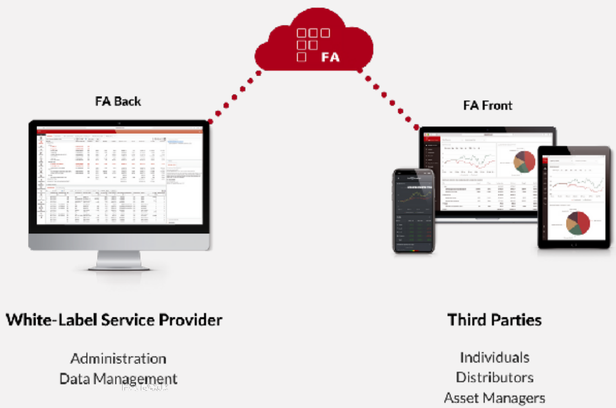
NEW DISTRIBUTION CHANNELS

Find new, innovative ways to distribute your services and products. FA Platform enables you to run your own business as well as serve your partners and end clients – everything in a single system.

AUTOMATED DATA FLOWS

FA Platform connects fluently to data sources and your other IT systems, removing the unnecessary manual burden. Seamless: Audit trail, regulatory requirements

HOW WE DO IT



ROBO-ADVISORY

FA Solutions is a “one stop shop” for replacing multiple software platforms with a single, automated software Platform for running a Robo Advisor Business.

Here at FA Solutions we have the knowledge and experience of launching several robo-advisory platforms. We can provide a full-service solution including SEPA payments and Direct Debits, accounting in different formats, MiFID II mapping of clients, flexible APIs, Model Portfolio Management, and Trade Order Settlement instructions, to name just a few of the functions required.

With our state-of-the-art yet comprehensive solutions, our robo-advice clients can focus on their core business idea; managing model portfolios, exploring future client trends and expanding market share. We will take care of the rest, with as high level of automation as possible.

EFFORTLESS INTEGRATIONS

FA Platform connects to all your relevant third parties, such as banks, custodians, market data providers and trading venues for automated information flow

RISK PROFILING & INVESTMENT PLANNING

Map the client’s experience, risk appetite, and investment horizon, and create matching proposals

DEPOSITS AND WITHDRAWALS

Enable customers to easily deposit and withdraw money to their account

AUTOMATED REPORTING

FA Platform automates reporting to authorities, customers, and other stakeholders

INTELLIGENT REBALANCING

Apply your models to your portfolios efficiently with FA’s intelligent rebalancing logic

CUSTOMER ONBOARDING

Quick and efficient customer onboarding with secure KYC

COMPLIANCE MONITORING

FA allows you to automate the monitoring of both external and internal requirements from company-level rules to portfolio-specific restrictions

INVESTMENT ANALYTICS

Let customers view and analyze their portfolios, holdings, and transactions whenever they want

FA SOLUTIONS

www.fasolutions.com